

Cambridge Technicals Business

Unit 9: Human resources

Level 3 Cambridge Technical in Business 05834 - 05837

Mark Scheme for June 2019

OCR (Oxford Cambridge and RSA) is a leading UK awarding body, providing a wide range of qualifications to meet the needs of candidates of all ages and abilities. OCR qualifications include AS/A Levels, Diplomas, GCSEs, Cambridge Nationals, Cambridge Technicals, Functional Skills, Key Skills, Entry Level qualifications, NVQs and vocational qualifications in areas such as IT, business, languages, teaching/training, administration and secretarial skills.

It is also responsible for developing new specifications to meet national requirements and the needs of students and teachers. OCR is a not-for-profit organisation; any surplus made is invested back into the establishment to help towards the development of qualifications and support, which keep pace with the changing needs of today's society.

This mark scheme is published as an aid to teachers and students, to indicate the requirements of the examination. It shows the basis on which marks were awarded by examiners. It does not indicate the details of the discussions which took place at an examiners' meeting before marking commenced.

All examiners are instructed that alternative correct answers and unexpected approaches in candidates' scripts must be given marks that fairly reflect the relevant knowledge and skills demonstrated.

Mark schemes should be read in conjunction with the published question papers and the report on the examination.

© OCR 2019

Annotations

Annotation	Meaning
Tick	Valid point, mark awarded
Cross	Incorrect
Question mark	Response unclear
BOD	Benefit of doubt (mark awarded)
TV	Too vague (mark not awarded)
REP	Repetition (no additional marks awarded)
NAQ	Not answered question (incorrect focus)
L1	Level 1 response (identification)
L2	Level 2 response (explanation)
L3	Level 3 response (analysis)
L4	Level 4 response (evaluation)
CONT	Context (required for high L4 award only)

Subject-specific marking instructions

For Level of Response marked questions marked over 4 levels, the candidate can access at L1 or L2. In either case, they can analyse the point made and proceed directly to L3.

L3 analysis is required before L4 can be accessed.

C	Question		Answer	Marks	Guidance
1	(a)	(i)	Indicative content:	2	Up to two marks.
			No. of work days lost due to employee absence x 100 Total number of working days 22 x 100 564 Answer = 3.9%		Award full marks for '3.9%, 4% or 0.039' irrespective of workings. Award maximum 1 mark for correct formula (in words or figures) or '3.9 or 4.0' (without percentage symbol), if seen.

C)uesti	on	Answer	Marks	Guidance
1	(a)	(ii)	 Conclusions include: Part-time employees absenteeism amongst employees has fluctuated labour turnover for employees has fluctuated the number of new activities fluctuates but new activities have been introduced every year volunteers absenteeism has increased year on year labour turnover has increased year on year new activities have been introduced every year, although the number of new activities introduced fluctuates 	2 x 2 marks	 Up to two marks for conclusion relating to part-time employees. Up to two marks for conclusion relating to volunteers. In each case: Award one mark for conclusion that can be drawn from this data. Award a further one mark if backed up by data/figures in Table 1. Do not award marks for comparison of part-time employees and volunteers. Do not award marks for conclusions referring to motivation. Annotate NAQ.
			Exemplar response: It could be concluded that the part-time employees are highly engaged as they are suggesting and implementing new ideas (1). Each year new activities have been introduced (1). The data suggests that the volunteers may lack engagement (1) because the labour turnover for volunteers has doubled each year (1).		

Q	Question		Answer		Guidance	
1	(b)	(i)	 Responses include: improved efficiency improved motivation improved productivity improved retention improved service fewer accidents Exemplar response: Thorough induction training is likely to improve the service provided to customers because volunteers have a better idea about what is required of them (1). This benefits EMCCA as their reputation may also improve and this in turn may increase the donations received (1). 	2 x 2 marks	One mark for each correct identification of a benefit to the business up to a maximum of two identifications. A further one mark for each of two explanations. Explanations must clearly refer to a benefit to EMCCA. Benefits must be relevant to induction training rather than other types of ongoing training. Do not award marks if other types of training are considered. Annotate NAQ. Identification must include improved/increased/fewer etc. Do not award volunteer-facing benefits.	

Q	Question		Answer	Marks	Guidance
1	(b)	(ii)	Responses include: • on the job training • off the job training • coaching • job rotation • mentoring • observation • shadowing • simulation exercises • training courses • training videos/DVD's Exemplar response: Simulation exercises could be used to show employees how to interact with people with special needs e.g. a disability (1). This could involve role play of situations that have occurred in the past (1).	3 x 2 marks	 One mark for each correct identification up to a maximum of three identifications, plus a further one mark for each of three descriptions. Watch out for repetition. Do not award 'induction training' as this already exists at <i>EMCAA</i>. Only award marks for methods of training. Do not award for statements such as 'provide training from specialists' as this does not state how the training will be provided i.e. the method. Annotate TV.

Q	uestio	n Answer	Marks	Guidance
2	(a)	 Responses include: budget (available)/internal sources of finance business growth/expansion diversification into new sectors/services employee skill sets/skills audit/skills shortage labour turnover rates retirement rates 	1	One mark for correct identification. Factor identified must be appropriate to EMCCA/a charity.
2	(b)	 Indicative content: more potential customers for their services/increased demand reduced number of potential employees/volunteers Exemplar response: As the population is getting older this may mean more people wanting to visit the day care service (1). As a result, additional employees may need to be recruited to provide additional services (1). 	2	One mark for correct identification of a way, plus a further one mark for explanation. Response must relate to EMCCA and how they specifically will be affected. Annotate √, √+

Q	uesti	on	Answer	Marks	Guidance
2	(c)		 Responses include: reliant on donations and fund raising need to have sufficient external funding to pay the employees insufficient funds may require the number of employees to be reduced training plans may need to be revised recruitment plans may be revised Exemplar response: The charity is reliant on donations and fund raising to provide their services (1). This may affect the number of employees that can be employed as without this finance they might not be able to pay their wages (1). 	2	One mark for correct identification, plus a further one mark for explanation. Response must be relevant to EMCCA/a charity. NB. Volunteers are not paid a wage/salary. Do not award marks for any reference to not being able to afford to pay volunteers etc. Responses may refer to positive or negative impacts.
2	(d)	(i)	 Responses include: controls how personal information can be used, stored and shared defines ways in which information about living people should be used and handled gives legal rights to people who have information stored about them protects people from the misuse or abuse of their personal information Exemplar response: This Act protects people from the misuse of their personal information (1) when it is stored by a third party (1). 	2	Award up to two marks for one extended point. Annotate √, √+ Do not award two marks for two separate points. NB. GDPR is now part of the Data Protection Act.

Unit 9

Q	Question		Answer	Marks	Guidance
2	(d)	(ii)	 Responses include: could face court action and/or fines if legislation is not adhered to financial cost implications e.g. buying secure storage for records of personal information reputation may be negatively affected if legislation is breached time cost implications e.g. providing training re processes to be followed to meet legislation means that employees/volunteers are not carrying out their day-to-day role whilst undertaking training could lose customers if information is leaked Exemplar response: If <i>EMCCA</i> does not keep the personal information of employees and customers secure then they may face fines for breaching the Data Protection Act (1). As a charity, probably with limited funds, this may mean that the charity has to close down (1).	2 x 2 marks	 One mark for each correct identification of an impact up to a maximum of two identifications, plus a further one mark for each of two explanations. Explanation must be why/how this is an impact. Do not award marks for statement/explanation of the law. Impacts identified must be different to award two identification marks. Why/how it will affect EMCCA can be the same (award one mark for each). Annotate √, √+

Q	uestion	Answer	Marks	Guidance	
3	(a)	 Indicative content: 360 degree feedback grading based on attendance and absenteeism individual performance management by objectives self-appraisal upward appraisal 		One mark for correct identification. To award a mark the technique must be clear e.g. do not award 360 degree, grading or performance management. Annotate TV.	
3	(b)	 Responses include: clarity of HR processes and policies communication with the Trustees conflict/disagreements between the Trustees and the Manager level of motivation level of trust onboarding style of organisation structure style of management unrealistic expectations Exemplar response: There needs to be a level of trust between these people. The trustees aren't involved in the day-to-day running of the day care service, so they have to be able to trust the manager to do this (1). If they start to keep a closer eye on the manager then the manager is likely to become resentful which will strain the relationship (1). As a result the manager may choose to leave rather than continue to work with the trustees (1). 	2 x 3 marks	 One mark for each correct identification up to a maximum of two identifications, plus a further one mark for each of two explanations and a further one mark for each of two analyses. Factor identified √ Development/explanation of how the factor identified links to their relationship √+ Analysis of how the relationship will be affected √A If factor identified is not contextual do not award any further marks. 	

Q	Question		Answer	Marks	Guidance
3	((c)	 Responses include: employees/managers are aware of the process that should be followed employees may be more confident in their employer employees may have more respect for their employer transparency suggests a fair approach saves time saves money 	2 x 2 marks	 One mark for each correct identification up to a maximum of two identifications, plus a further one mark for each of two explanations. One mark for identification of each benefit One mark for explanation of why/how each is a benefit Annotate √, √+
			Exemplar response: Having an agreed grievance procedure means that all parties are aware of the process that should be followed if there is an issue (1). This should prevent confusion and hopefully make the process more efficient (1).		

Q	Question		Answer	Marks	Guidance	
4	(a)		 Responses include: absenteeism rate customer feedback (day care service) employee feedback e.g. via appraisals, employee surveys, meetings labour turnover rate productivity rate repeat custom reputation volunteer feedback 	2 x 2 marks	 One mark for each correct identification up to a maximum of two identifications, plus a further one mark for each of two explanations. One mark for identification of a method One mark for explanation of how this method could be used to measure motivation/why it will indicate the level of motivation Annotate √, √+ 	
			Exemplar response: People using the day care service could be asked to complete a short survey about their experiences (1). Positive and/or negative comments about the employees, their interaction with customers and how well services are provided should give an indication of the level of motivation (1).			

Question	Answer		Guidance	
4 (b)	Use levels of response criteria.	16	Levels of response:	
	 Responses include: awards e.g. volunteer of the month delegation empowerment flexible working give something tangible e.g. a gift, crèche input into decision-making job rotation opportunity for team working/group working praise training and development opportunities (relevant to their role(s) Exemplar response: 		 Level 4 (13 - 16 marks) Candidate evaluates methods that could be used by <i>EMCCA</i> to improve the motivation of volunteers. Level 3 (9 – 12 marks) Candidate analyses relevant methods that could be used by <i>EMCCA</i> to improve the motivation of volunteers. Analysis must be business-facing. Level 2 (5 – 8 marks) Candidate explains relevant methods that could be used by <i>EMCCA</i> to improve the motivation of volunteers. Level 1 (1-4 marks) Candidate identifies relevant methods that could be used by <i>EMCCA</i> to improve the motivation of volunteers. 	
	Providing them with the opportunity to work as a team (L1) may help to improve motivation. Some people choose to volunteer to give them the opportunity to interact with others (L2). Some of the roles such as gardening will offer limited interaction with others as they won't be working with other volunteers and the person that they are helping is likely to be inside. This therefore doesn't provide the volunteer with any form of teamwork which may be demotivating and make them question their reasons for volunteering (L3). By offering the chance to vary the role carried out, via job rotation (L1), e.g. combining some days of gardening with some days of helping to provide entertainment, motivation should be increased as there will be days when they are working as part of a group to meet a specific aim e.g. entertaining customers (L2).		 L1 – Methods must be non-monetary as volunteers are not allowed to receive any pay for a voluntary role. Any expenses received must directly reflect costs incurred e.g. candidates should not be awarded marks for suggesting that expense payments are inflated. L2 – Award 5/6 marks for one method explained. Award 7/8 marks for two or more methods explained L3 - Award 9/10 marks for one method analysed. Award 11/12 marks for two or more methods analysed. 	

Question	Answer	Marks	Guidance
	As a result, the service provided in all roles undertaken should improve and increased motivation should also mean that volunteers will continue to help out at the charity (L3).		L4 – Award 13 marks for identification of the most appropriate method with non-contextual reasoning. Award 14 marks for identification of the most appropriate method with contextual reasoning. Award
	Providing them with the opportunity to work within in a team is the most appropriate method. Volunteers cannot be motivated via increased pay etc. therefore they are likely to have their basic needs, as per Maslow's Hierarchy of Needs, met via other sources so it is their social, esteem and self-actualisation needs that any method of improving their motivation must focus on (L4).		15 marks for identification of the most appropriate method with non-contextual reasoning and reference to at least one motivational theory. Award 16 marks for a comparative, contextual judgement of the most appropriate method with reference to at least one motivational theory.
	Teamworking will help to meet their social needs and therefore improve their motivation.		Do not award fringe benefits.

June 2019

Q	uestion	Answer	Marks	Guidance
Q 5	(a)	Answer Use levels of response criteria Responses include: • availability to volunteer • eligibility to work in the UK • email address • personal statement e.g. why they want to volunteer • previous job roles (experience) • qualifications	Marks 8	GuidanceLevels of responseLevel 4 (7 – 8 marks)Candidate evaluates what information the application form for the Volunteer Car Driver position should request. A judgement should be made as to which piece of information it is most important to include, with reasoning. Context is required for full marks.
		 referees6 what their interests are whether they have a full driving licence/previous driving experience whether they have any criminal convictions whether they have carried out a similar role before (experience) whether they have had a CRB/DBS check whether they have car insurance that will cover them to provide this type of transport 		Level 3 (5 – 6 marks) Candidate analyses information that should be on the application form for the Volunteer Car Driver position. Analysis must focus on the usefulness/benefit/impact on EMCCA not employees/volunteers/customers etc. Level 2 (3 – 4 marks) Candidate explains information that should be on the application form for the volunteer Car Driver position.
		 Exemplar response: It is important that <i>EMCCA</i> find out whether applicants have a full driving licence (L1) as without this they wouldn't be able to carry out the role (L2) without breaking the law. Not finding out this information until interview would therefore waste the charity's time and not enable them to shortlist accurately (L3). It would be useful to know whether applicants have held a similar role before (L1) because it will help the charity to decide who might best fulfil the role (L2). People providing the transport are the face of the charity in the community so if the role isn't carried out professionally then the reputation of the charity may be affected and therefore the amount of donations received fall (L3). This information is therefore the most important because anything which affects the funding received may ultimately impact on the future of the charity (L4). 		 Level 1 (1 – 2 marks) Candidate identifies information that should be on the application form for the Volunteer Car Driver position. NB Do not award basic contact details i.e. name, address, telephone number as these are excluded in the question.

Question	Answer	Marks	Guidance
5 (b)	 Responses include: Advantages: lower cost e.g. compared with a newspaper advertisement people interested in the charity are more likely to see it and apply advertisement can be posted/amended fairly quickly as the charity has control Disadvantages: anyone who hasn't heard of the charity is unlikely to see it; reducing the number of applicants best candidate(s) for a role may not apply people with no internet access will not be able to apply rely on people visiting the website Exemplar response: An advantage to a charity that needs to maintain low costs wherever possible (1). A disadvantage is that only people who take a significant interest in the charity are likely to see the advertisement (1). Choosing from a small pool is likely to limit the charity's choice of applicant (1). 	2 x 2 marks	 One mark for the correct identification of an advantage and one mark for the correct identification of a disadvantage, plus a further one mark for each of two explanations. Explanation must focus on why the advantages/disadvantages identified are advantages/disadvantages to EMCCA. Annotate √, √+ Do not award 'people interested in the charity are more likely to see it' – annotate TV. Do not award 'anyone who hasn't heard of the charity won't see it' (or similar) unless reference made to the number of people applying/candidates (or similar) – annotate TV.

Q	uesti	ion	Answer	Marks	Guidance
5	(c)		 Responses include: differences in the interview process differences in selection tasks/tests requirement for referees 	2 x 2 marks	One mark for each correct identification up to a maximum of two identifications, plus a further one mark for each of two explanations. Any relevant part(s) of the selection process can be considered.
			Exemplar response: Interviews may be more informal for volunteers compared with permanent employees (1). This is because volunteers can be requested not to return whereas employees with a contract of employment must be given notice so the correct decision must be made during the selection process (1).		Explanation must include a comparison between the permanent employees and the volunteers i.e. the reason for the difference. Do not award marks for differences relating to the recruitment process e.g. where to advertise the role, how to apply. Annotate NAQ.

Unit 9

June 2019

Question	Answer	Marks	Guidance
6	Use level of response criteria. Responses include: • bullying, harassment or discrimination	16	Levels of response: Level 4 (13 – 16 marks)
	 communication issues differing aims of stakeholders employees are paid, volunteers are not 		Candidate evaluates potential conflict within the workplace of <i>EMCCA</i> . A judgement should be made as to the most likely or most significant cause, with reasoning. Context is required for full marks.
	 inadequately trained or inexperienced management inappropriate management style organisational culture other workers not fulfilling their job role adequately 		Level 3 (9 – 12 marks) Candidate analyses potential conflict within the workplace of <i>EMCCA</i> . Analysis must focus on the impact on <i>EMCCA</i> .
	 unclear job roles unfair expectations (particularly of unpaid volunteers) unfair/unequal/different treatment workforce inflexibility 		Level 2 (5 – 8 marks) Candidate explains potential conflict within the workplace of <i>EMCCA</i> .
	 working environment work-life balance Exemplar response: 		Level 1 (1 – 4 marks) Candidate identifies potential causes of conflict within the workplace of <i>EMCCA</i> . Causes mus t be relevant to a charity.
	If employees and volunteers do not integrate well with each other (L1) then there is the potential for conflict. It may be that the		L1 – cause of conflict required (not examples).
	employees bully volunteers because they aren't permanent employees and may be allowed more flexible hours to meet other commitments (L2). This should not happen in the		L2 – Award 5/6 marks for one conflict explained. Award 7/8 marks for two or more conflicts explained
	workplace, but issues such as name calling may occur if there isn't a positive relationship between the two groups which may lower motivation. Volunteers have the option to 'walk away' as		L3 - Award 9/10 marks for one conflict analysed. Award 11/12 marks for two or more conflicts analysed.
	they aren't tied into working for the charity. This means that any potential conflict must be resolved otherwise the charity will struggle to operate effectively with reduced numbers of volunteers (L3).		L4 – Award 13/14 marks for identification of the most likely/significant cause with non-contextual reasoning. Award 15/16 marks for identification of the most likely/significant cause with contextual reasoning.

Question	Answer	Marks	Guidance
	Communication between the Trustees and the Manager (L1) is another potential cause. The Trustees make decisions about the way that the charity should be run but it is the Manager who runs the day centre on a day-to-day basis (L2). The Trustees must clearly communicate ideas, plans and policies to the Manager otherwise conflict may occur. For example, if the Trustees introduce a new policy without clearly explaining this to the manager, and then criticise him/her for not following the policy correctly then conflict is likely to occur (L3). The charity has three distinct groups of people; the Trustees, the employees and the volunteers. There is clearly the potential for conflict between each of these because they do not all work together on a day-to-day basis. Not having good communication between these groups would be the most significant cause of conflict because it is needed to ensure that everyone works well as a team and that everyone is aware at all times of what is going on. It is only then that the charity can offer an excellent service to the people that they aim to help (L4).		

OCR (Oxford Cambridge and RSA Examinations) The Triangle Building Shaftesbury Road Cambridge CB2 8EA

OCR Customer Contact Centre

Education and Learning

Telephone: 01223 553998 Facsimile: 01223 552627 Email: <u>general.qualifications@ocr.org.uk</u>

www.ocr.org.uk

For staff training purposes and as part of our quality assurance programme your call may be recorded or monitored

Oxford Cambridge and RSA Examinations is a Company Limited by Guarantee Registered in England Registered Office; The Triangle Building, Shaftesbury Road, Cambridge, CB2 8EA Registered Company Number: 3484466 OCR is an exempt Charity





