

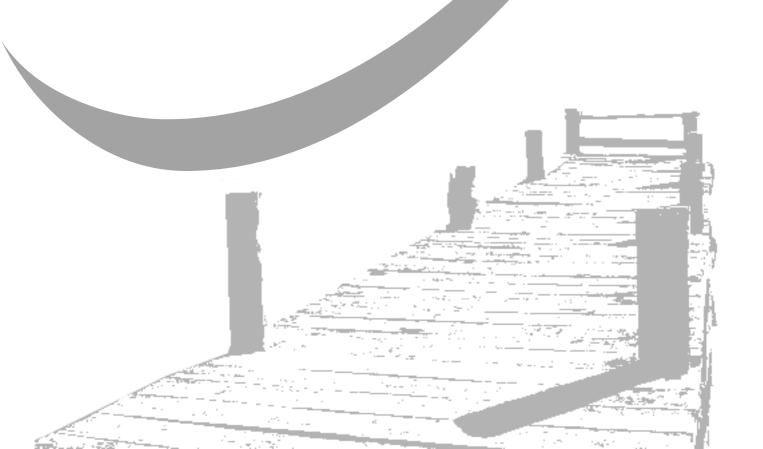
GCE AS and A Level

Law

AS exams 2009 onwards A2 exams 2010 onwards

Unit 1: Specimen mark scheme

Version 1.1





General Certificate of Education

Law

Unit 1 (LAW01) Law Making and the Legal System

Specimen Mark Scheme

The specimen assessment materials are provided to give centres a reasonable idea of the general shape and character of the planned question papers and mark schemes in advance of the first operational exams.
Further copies of this Mark Scheme are available to download from the AQA Website: www.aqa.org.uk
Copyright © 2007 AQA and its licensors. All rights reserved.
COPYRIGHT AQA retains the copyright on all its publications. However, registered centres for AQA are permitted to copy material from this booklet for their own internal use, with the following important exception: AQA cannot give permission to centres to photocopy any material that is acknowledged to a third party even for internal use within the centre.
Set and published by the Assessment and Qualifications Alliance.
The Assessment and Qualifications Alliance (AQA) is a company limited by guarantee registered in England and Wales (company number 3644723) and a registered charity (registered charity number 1073334). Registered address: AQA, Devas Street, Manchester M15 6EX. Dr Michael Cresswell, Director General.

LAW01

Assessment Objectives One and Two

General Marking Guidance

You should remember that your marking standards should reflect the levels of performance of candidates, mainly 17 years old, who have completed some part of the Advanced Subsidiary course, writing under examination conditions. The Potential Content given in each case is the most likely correct response to the question set. However, this material is neither exhaustive nor prescriptive and alternative, valid responses should be given credit within the framework of the mark bands.

Positive Marking

You should be positive in your marking, giving credit for what is there rather than being too conscious of what is not. Do not deduct marks for irrelevant or incorrect answers, as candidates penalise themselves in terms of the time they have spent.

Mark Range

You should use the whole mark range available in the mark scheme. Where the candidate's response to a question is such that the mark scheme permits full marks to be awarded, full marks must be given. A perfect answer is not required. Conversely, if the candidate's answer does not deserve credit, then no marks should be given.

Levels of Response for Essay Marking

When reading an essay, it is useful to annotate your recognition of the achievement of a response level. This will help the Team Leader follow your thought processes. Levels of response marking relies on recognition of the highest Level achieved by the candidate. When you have finished reading the essay, therefore, think top-down, rather than bottom-up. In other words, has the candidate's overall answer met the requirements for the top level? If not, the next level?

Citation of Authority

Candidates will have been urged to use cases and statutes whenever appropriate. Even where no specific reference is made to these in the mark scheme, please remember that their use considerably enhances the quality of an answer.

Assessment Objective Three

Quality of Written Communication

The Code of Practice for GCSE, GCSE in vocational subjects, GCE, VCE and GNVQ requires the assessment of candidates' quality of written communication wherever they are required to write in continuous prose. In this unit, this assessment will take place by marking the candidate's script as a whole, by means of the following criteria:

- **Level 3** Moderately complex ideas are expressed clearly and reasonably fluently, through well linked sentences and paragraphs. Arguments are generally relevant and well structured. There may be occasional errors of grammar, punctuation and spelling. **4-5 marks**
- Level 2 Straightforward ideas are expressed clearly, if not always fluently. Sentences and paragraphs may not always be well connected. Arguments may sometimes stray from the point or be weakly presented. There may be some errors of grammar, punctuation and spelling, but not such as to detract from communication of meaning.
 2-3 marks
- **Level 1** Simple ideas are expressed clearly, but arguments may be of doubtful relevance or be obscurely presented. Errors in grammar, punctuation and spelling may be noticeable and intrusive, sufficient to detract from communication of meaning.

1 mark

Level 0 Ideas are expressed poorly and sentences and paragraphs are not connected.
 There are errors of grammar, punctuation and spelling, such as severely to impair communication of meaning.
 0 marks

The level of understanding in AS Law - LAW01

To help you find the level of understanding shown in a script, there will be some of the following characteristics shown. It is important to remember that the assessment is aimed at the notional 17-year old, so the level of understanding required by these criteria will be that of the notional 17-year old.

Sound	 The material will be predominantly accurate and contain material relevant to the Potential Content. The material will be supported by generally relevant authority and/or examples. It will generally deal with the Potential Content in a manner required by the question. As a consequence, the essential features of the Potential Content are dealt with competently and coherently.
Clear	 The material is broadly accurate and relevant to the Potential Content. The material will be supported by some use of relevant authority and/or examples. The material will broadly deal with the Potential Content in a manner required by the question. As a consequence, the underlying concepts of the Potential Content will be present, though there may be some errors, omissions and/or confusion which prevent the answer from being fully rounded or developed.
Some	 The material shows some accuracy and relevance to the Potential Content. The material may occasionally be supported by some relevant authority and/or examples. The material will deal with some of the Potential Content in a manner required by the question. As a consequence, few of the concepts of the Potential Content are established as there will be errors, omissions and/or confusion which undermine the essential features of the Potential Content.

Section A: Law Making

Total for this question: 30 marks

1 (a) Briefly explain what is meant by the doctrine of judicial precedent. (10 marks)

Potential Content

- (A) Identification and brief description of hierarchy of courts
- (B) Identification and brief description of law reports
- (C) Identification and brief description of ratio decidendi and obiter dicta

Mark Bands

8-10 The candidate deals with (A)-(C) as follows:

max 10: two sound, one clear

max 9: two sound, one some or one sound, two clear

max 8: two sound or one sound, one clear, one some or three clear.

5-7 The candidate deals with (A)-(C) as follows:

max 7: one sound, one clear or one sound, two some or two clear, one some

max 6: one sound, one some or two clear or one clear, two some

max 5: one sound or one clear, one some or three some.

- 3 4 The candidate displays limited understanding by introducing discussion of any of the material in (A)-(C). Where the candidate introduces material across the range it will be superficial.
- 1-2 The answer consists of brief, fragmented comments or examples so that no coherent explanation emerges

or

mistakes and confusion fundamentally undermine a more substantial attempt at explanation and application.

1 (b) Describe **two** ways in which judges can avoid following precedent. (10 marks)

Potential Content

- (A) Identification and description of one way judges can avoid following precedent
- (B) Identification and brief description of another way judges can avoid following precedent

Ways may include distinguishing, re-interpreting *ratio/obiter*, overruling, etc.

Mark Bands

8-10 The candidate deals with (A) and (B) as follows:

max 10: two sound

max 9: one sound, one clear

max 8: one sound, one some or two clear.

5-7 The candidate deals with (A) and (B) as follows:

max 7: one sound or one clear, one some

max 5: one clear or two some.

- 3 4 The candidate displays limited understanding by introducing discussion of any of the material in (A)-(B). Where the candidate introduces material across the range it will be superficial.
- 1-2 The answer consists of brief, fragmented comments or examples so that no coherent explanation emerges

or

mistakes and confusion fundamentally undermine a more substantial attempt at explanation and application.

1 (c) Discuss the advantages of the doctrine of judicial precedent.

(10 marks)

Potential Content

(A) Discussion of advantages, eg flexibility of system, certainty for future cases, just and impartial decisions,

- 8 10 The candidate demonstrates a sound understanding of (A).
- 5 7 The candidate demonstrates a clear understanding of (A).
- 3 4 The candidate demonstrates some understanding of (A).
- 1-2 The candidate demonstrates limited understanding of (A).
- The answer contains no relevant information.

2 (a) Using examples, describe the different forms of delegated legislation. (10 marks)

Potential Content

- (A) Description of Statutory Instruments, eg made under authority of enabling Act; examples
- (B) Description of Orders in Council, eg how and when made by Privy Council, how and when made by Ministerial Regulations
- (C) Description of By laws, eg how and when made by local authority and/or other bodies; examples

Mark Bands

8-10 The candidate deals with (A)-(C) as follows:

max 10: two sound, one clear

max 9: two sound, one some or one sound, two clear

max 8: two sound or one sound, one clear, one some or three clear.

5-7 The candidate deals with (A)-(C) as follows:

max 7: one sound, one clear or one sound, two some or two clear, one some

max 6: one sound, one some or two clear or one clear, two some

max 5: one sound or one clear, one some or three some.

- 3 4 The candidate displays limited understanding by introducing discussion of any of the material in (A)-(C). Where the candidate introduces material across the range it will be superficial.
- 1 2 The answer consists of brief, fragmented comments or examples so that no coherent explanation emerges

or

mistakes and confusion fundamentally undermine a more substantial attempt at explanation and application.

2 (b) Briefly explain the controls on delegated legislation.

(10 marks)

Potential Content

- (A) Brief explanation of parliamentary controls of delegated legislation, eg affirmative resolution procedure; negative resolution procedure; Parliamentary Committees
- (B) Brief explanation of judicial controls of delegated legislation; judicial review substantive and procedural *ultra vires*

Mark Bands

8 – 10 The candidate deals with (A) and (B) as follows:

max 10: two sound

max 9: one sound, one clear

max 8: one sound, one some or two clear.

5-7 The candidate deals with (A) and (B) as follows:

max 7: one sound or one clear, one some

max 5: one clear or two some.

3 – 4 The candidate displays limited understanding of any of the material in (A)-(B). Where a candidate introduces material across the range of (A)-(B), this may be superficial.

1 – 2 The answer consists of brief, fragmented comments so that no coherent explanation emerges

or

a more substantial attempt at explanation is fundamentally undermined by mistakes and confusion.

2 (c) Discuss disadvantages of delegated legislation.

(10 marks)

Potential Content

(A) Discussion of disadvantages, eg volume, need for control, limited scrutiny and control of executive power, lack of publicity, length and expense of judicial review

- 8 10 The candidate demonstrates a sound understanding of (A).
- 5 7 The candidate demonstrates a clear understanding of (A).
- 3 4 The candidate demonstrates some understanding of (A).
- 1-2 The candidate demonstrates limited understanding of (A).
- The answer contains no relevant information.

3 (a) Describe any **two** aids that can be used by judges when interpreting an Act of Parliament. (10 marks)

Potential Content

- (A) Explanation and illustration of first aid
- (B) Explanation and illustration of second aid

Aids include: internal – long and short titles, preamble headings; external – other statutes, government publications, Hansard (in limited circumstances); rules of language, etc.

Mark Bands

8 – 10 The candidate deals with (A) and (B) as follows:

max 10: two sound

max 9: one sound, one clear

max 8: one sound, one some or two clear.

5-7 The candidate deals with (A) and (B) as follows:

max 7: one sound or one clear, one some

max 5: one clear or two some.

3 – 4 The candidate displays limited understanding of any of the material in (A)-(B). Where a candidate introduces material across the range of (A)-(B), this may be superficial.

1 – 2 The answer consists of brief, fragmented comments so that no coherent explanation emerges

or

a more substantial attempt at explanation is fundamentally undermined by mistakes and confusion.

3 (b) Describe any **two** rules of (approaches to) statutory interpretation which help judges to interpret an Act of Parliament. (10 marks)

Potential Content

- (A) Explanation and illustration of first rule (approach)
- (B) Explanation and illustration of second rule (approach)

Note: Rules are literal, golden, mischief, or purposive approach.

Mark Bands

8-10 The candidate deals with (A) and (B) as follows:

max 10: two sound

max 9: one sound, one clear

max 8: one sound, one some or two clear.

5-7 The candidate deals with (A) and (B) as follows:

max 7: one sound or one clear, one some

max 5: one clear or two some.

3 – 4 The candidate displays limited understanding of any of the material in (A)-(B). Where a candidate introduces material across the range of (A)-(B), this may be superficial.

1 – 2 The answer consists of brief, fragmented comments so that no coherent explanation emerges

or

a more substantial attempt at explanation is fundamentally undermined by mistakes and confusion.

3 (c) Briefly discuss the advantages **and** disadvantages of **one** of the rules of (approaches to) statutory interpretation you have described in your answer to question 3 (b) above. (10 marks)

Potential Content

- (A) Brief discussion of advantages of rule (approach)
- (B) Brief discussion of disadvantages of rule (approach)

Advantages can include:

literal rule - judges applying parliamentary wishes, judges following theoretical role. golden rule - judges giving effect to Parliament's wishes. mischief and purposive approach - flexibility, decision in case without referring back to Parliament.

Disadvantages can include:

literal rule - poor decision made, need for Parliament to remedy errors. golden rule - judicial law making.

mischief rule - judicial law making, undemocratic, uncertainty of result. purposive approach - judicial law making, undemocratic, uncertainty of result.

Mark Bands

8 – 10 The candidate deals with (A) and (B) as follows:

max 10: two sound

max 9: one sound, one clear

max 8: one sound, one some or two clear.

5-7 The candidate deals with (A) and (B) as follows:

max 7: one sound or one clear, one some

max 5: one clear or two some.

- 3 4 The candidate displays limited understanding of any of the material in (A)-(B). Where a candidate introduces material across the range of (A)-(B), this may be superficial.
- 1-2 The answer consists of brief, fragmented comments so that no coherent explanation emerges

or

a more substantial attempt at explanation is fundamentally undermined by mistakes and confusion.

4 (a) Explain what is meant by the doctrine of parliamentary supremacy and briefly explain **one** limitation on this doctrine. (10 marks)

Potential Content

- (A) Explanation of meaning of the doctrine of parliamentary supremacy
- (B) Brief explanation of one limitation of the doctrine of parliamentary supremacy, eg direct application of EU law; application of Human Rights Act 1998

Mark Bands

8-10 The candidate deals with (A) and (B) as follows:

max 10: two sound

max 9: one sound, one clear

max 8: one sound, one some or two clear.

5-7 The candidate deals with (A) and (B) as follows:

max 7: one sound or one clear, one some

max 5: one clear or two some.

3 – 4 The candidate displays limited understanding of any of the material in (A)-(B). Where a candidate introduces material across the range of (A)-(B), this may be superficial.

1 – 2 The answer consists of brief, fragmented comments so that no coherent explanation emerges

or

a more substantial attempt at explanation is fundamentally undermined by mistakes and confusion.

4 (b) Briefly explain the roles of the House of Commons, House of Lords and the monarch in the formal process of statute law creation. (10 marks)

Potential Content

- (A) Brief description of role of House of Commons in the formal process of statute law creation.
- (B) Brief description of role of House of Lords in the formal process of statute law creation.
- (C) Brief description of role of the monarch in the formal process of statute law creation.

Mark Bands

8-10 The candidate deals with (A)-(C) as follows:

max 10: two sound, one clear

max 9: two sound, one some or one sound, two clear

max 8: two sound or one sound, one clear, one some or three clear.

5-7 The candidate deals with (A)-(C) as follows:

max 7: one sound, one clear or one sound, two some or two clear, one some

max 6: one sound, one some or two clear or one clear, two some

max 5: one sound or one clear, one some or three some.

- 3 4 The candidate displays limited understanding by introducing discussion of any of the material in (A)-(C). Where the candidate introduces material across the range it will be superficial.
- 1 2 The answer consists of brief, fragmented comments or examples so that no coherent explanation emerges

or

mistakes and confusion fundamentally undermine a more substantial attempt at explanation and application.

4 (c) Discuss the advantages of the process of law making in Parliament. (10 marks)

Potential Content

(A) Discussion of advantages, eg democratic, open discussion of issues, possible amendments, scrutiny of proposals, supremacy of Parliamentary law

Mark Bands

0

8 - 10	The candidate demonstrates a sound understanding of (A).
5 - 7	The candidate demonstrates a clear understanding of (A).
3 - 4	The candidate demonstrates some understanding of (A).
1 – 2	The candidate demonstrates limited understanding of (A).

Section B: The Legal System

Total for this question: 30 marks

5 (a) Naseem has been injured in a road accident. Briefly explain the role of the judge in Naseem's civil court claim for damages. (10 marks)

Potential Content

(A) Brief explanation of role, eg case management, hearing evidence and legal arguments, deciding liability and award of damages/remedy, possible reference to appeal role

- 8 10 The candidate demonstrates a sound understanding of (A).
- 5 7 The candidate demonstrates a clear understanding of (A).
- 3 4 The candidate demonstrates some understanding of (A).
- 1-2 The candidate demonstrates limited understanding of (A).
- The answer contains no relevant information.

5 (b) Explain what is meant by the principle of judicial independence. (10 marks)

Potential Content

(A) Explanation of the principle of judicial independence, eg appointment by independent Judicial Appointments Commission, in post until retirement, security of tenure with possible reference to Act of Settlement, immunity within court, appeals only against decisions, complaints to Judicial Conduct Ombudsman

- 8 10 The candidate demonstrates a sound understanding of (A).
- 5 7 The candidate demonstrates a clear understanding of (A).
- 3 4 The candidate demonstrates some understanding of (A).
- 1-2 The candidate demonstrates limited understanding of (A).
- The answer contains no relevant information.

5 (c) Discuss the importance of the principle of judicial independence. (10 marks)

Potential Content

(A) Discussion of the importance of the principle, eg impartiality, separation from the Executive, freedom from dismissal, public confidence in judiciary, upholding Rule of Law, decision-making free of pressure, ability to hear judicial review cases and cases involving the Executive.

- 8 10 The candidate demonstrates a sound understanding of (A).
- 5 7 The candidate demonstrates a clear understanding of (A).
- 3 4 The candidate demonstrates some understanding of (A).
- 1-2 The candidate demonstrates limited understanding of (A).
- The answer contains no relevant information.

6 (a) Describe how tribunals work. (10 marks)

Potential Content

(A) Description of the working of tribunals, eg who is hearing the dispute, nature of hearings, types of cases dealt with, process issues, outcomes, possible appeals.

- 8 10 The candidate demonstrates a sound understanding of (A).
- 5 7 The candidate demonstrates a clear understanding of (A).
- 3 4 The candidate demonstrates some understanding of (A).
- 1-2 The candidate demonstrates limited understanding of (A).
- The answer contains no relevant information.

6 (b) There are forms of dispute resolution other than using the courts or tribunals.

Describe any **two** of these forms, making reference to the types of dispute dealt with.

(10 marks)

Potential Content

- (A) Description of first form of dispute resolution; reference to types of dispute dealt with
- (B) Description of second form of dispute resolution; reference to types of disputes dealt with

Note: Forms are: arbitration, mediation, conciliation and negotiation.

Description of form can include reference to who is hearing the dispute, nature of hearings, types of cases dealt with, process issues, outcomes, possible appeals.

Mark Bands

8 – 10 The candidate deals with (A) and (B) as follows:

max 10: two sound

max 9: one sound, one clear

max 8: one sound, one some or two clear.

5-7 The candidate deals with (A) and (B) as follows:

max 7: one sound or one clear, one some

max 5: one clear or two some.

- 3 4 The candidate displays limited understanding of any of the material in (A)-(B). Where a candidate introduces material across the range of (A)-(B), this may be superficial.
- 1 2 The answer consists of brief, fragmented comments so that no coherent explanation emerges

or

a more substantial attempt at explanation is fundamentally undermined by mistakes and confusion.

6 (c) Discuss advantages of alternative dispute resolution.

(10 marks)

Potential Content

(A) Identification and discussion of advantages, eg informal, self-representation, decisions by experts, speed, convenience, cost, less confrontational approach, promotion of alternatives by court

- 8 10 The candidate demonstrates a sound understanding of (A).
- 5 7 The candidate demonstrates a clear understanding of (A).
- 3 4 The candidate demonstrates some understanding of (A).
- 1-2 The candidate demonstrates limited understanding of (A).
- The answer contains no relevant information.

7 (a) Outline how a barrister is trained and qualifies.

(10 marks)

Potential Content

(A) Outline of training and qualification of barrister, eg graduate entry, CPE, BVC, pupillage, membership of Inns of Court, call to Bar

- 8 10 The candidate demonstrates a sound understanding of (A).
- 5 7 The candidate demonstrates a clear understanding of (A).
- 3 4 The candidate demonstrates some understanding of (A).
- 1-2 The candidate demonstrates limited understanding of (A).
- The answer contains no relevant information.

7 (b) Paveen has been injured in an accident. Explain from whom she could get advice about a possible claim for damages. (10 marks)

Potential Content

(A) Explanation of forms of legal advice for civil claims, eg solicitors, barristers through Bar Direct, insurance companies, membership of organisations such as AA, RAC, trade union, consumer organisations, law centres and CAB, internet, Claims firms

- 8 10 The candidate demonstrates a sound understanding of (A).
- 5 7 The candidate demonstrates a clear understanding of (A).
- 3 4 The candidate demonstrates some understanding of (A).
- 1-2 The candidate demonstrates limited understanding of (A).
- The answer contains no relevant information.

7 (c) Briefly discuss advantages **and** disadvantages of both private funding and of "no win – no fee" arrangements in a civil claim. (10 marks)

Potential Content

- (A) Discussion of advantages of private funding: choice, speed, quality of service, etc. Discussion of disadvantages of private funding: cost, etc
- (B) Discussion of advantages of "no win no fee" arrangements: financial benefit, access to justice, etc. Discussion of disadvantages of "no win no fee" arrangements: cost of insurance policy, limited availability, threshold test, etc

Mark Bands

8-10 The candidate deals with (A) and (B) as follows:

max 10: two sound

max 9: one sound, one clear

max 8: one sound, one some or two clear.

5-7 The candidate deals with (A) and (B) as follows:

max 7: one sound or one clear, one some

max 5: one clear or two some.

- 3 4 The candidate displays limited understanding of any of the material in (A)-(B). Where a candidate introduces material across the range of (A)-(B), this may be superficial.
- 1-2 The answer consists of brief, fragmented comments so that no coherent explanation emerges

or

a more substantial attempt at explanation is fundamentally undermined by mistakes and confusion.

8 (a) Alicia is charged with theft (an either way offence). Her case could be tried either by magistrates or by a jury in the Crown Court. Explain the work of lay magistrates in criminal courts. (10 marks)

Potential Content

(A) Explanation of work of lay magistrates, eg deciding bail/custody issues, deciding Legal Representation, hearing evidence at a trial, deciding guilt/innocence, deciding sentence, possible reference to referring cases to Crown Court for trial or sentence, possible reference to issue of warrants

- 8 10 The candidate demonstrates a sound understanding of (A).
- 5 7 The candidate demonstrates a clear understanding of (A).
- 3 4 The candidate demonstrates some understanding of (A).
- 1-2 The candidate demonstrates limited understanding of (A).
- 0 The answer contains no relevant information.

8 (b) Explain the work of juries in criminal courts.

(10 marks)

Potential Content

(A) Explanation of work of juries, eg hearing evidence, hearing legal directions from judge, deciding verdict in secret discussion, announcing verdict

- 8 10 The candidate demonstrates a sound understanding of (A).
- 5 7 The candidate demonstrates a clear understanding of (A).
- 3 4 The candidate demonstrates some understanding of (A).
- 1-2 The candidate demonstrates limited understanding of (A).
- The answer contains no relevant information.

8 (c) Identify and discuss advantages **and** disadvantages of using juries in criminal cases. (10 marks)

Potential Content

- (A) Identification and discussion of advantages, eg open justice, trial by peers, public confidence, overall fairness
- (B) Identification and discussion of disadvantages, eg perverse verdicts, feelings of possible bias, make up of panel and selection issues, influence within panel, media pressure, complexity of issues

Mark Bands

8-10 The candidate deals with (A) and (B) as follows:

max 10: two sound

max 9: one sound, one clear

max 8: one sound, one some or two clear.

5-7 The candidate deals with (A) and (B) as follows:

max 7: one sound or one clear, one some

max 5: one clear or two some.

- 3 4 The candidate displays limited understanding of any of the material in (A)-(B). Where a candidate introduces material across the range of (A)-(B), this may be superficial.
- 1-2 The answer consists of brief, fragmented comments so that no coherent explanation emerges

or

a more substantial attempt at explanation is fundamentally undermined by mistakes and confusion.

ASSESSMENT GRID

(To show the allocation of marks to Assessment Objectives)

A Level Law (LAW01)

UNIT 1	AO 1	AO 2	AO 3
Question 1 (a)	10	0	
Question 1 (b)	10	0	
Question 1 (c)	0	10	
Question 2 (a)	10	0	
Question 2 (b)	10	0	
Question 2 (c)	0	10	
Question 3 (a)	10	0	
Question 3 (b)	10	0	
Question 3 (c)	0	10	
Question 4 (a)	10	0	
Question 4 (b)	10	0	
Question 4 (c)	0	10	
Question 5 (a)	10	0	
Question 5 (b)	10	0	
Question 5 (c)	0	10	
Question 6 (a)	10	0	
Question 6 (b)	10	0	
Question 6 (c)	0	10	
Question 7 (a)	10	0	
Question 7 (b)	10	0	
Question 7 (c)	0	10	
Question 7 (a)	10	0	
Question 7 (b)	10	0	
Question 7 (c)	0	10	